



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov



Bib Data Sheet

CONFIRMATION NO. 6203

<b>SERIAL NUMBER</b> 10/003,613	<b>FILING OR 371(c) DATE</b> 11/02/2001 <b>RULE</b>	<b>CLASS</b> 705	<b>GROUP ART UNIT</b> 3693	<b>ATTORNEY DOCKET NO.</b> 74622-020
------------------------------------	-----------------------------------------------------------	---------------------	-------------------------------	-----------------------------------------

## APPLICANTS

Lawrence P. Shields, New York, NY;  
 George Brewster, Warren, NJ;  
 James Humza, Skillman, NJ;  
 James Mooney, River Vale, NJ;  
 Hermant Bendre, Fair Lawn, NJ;  
 Julia K. Burns, Verplanck, NY;  
 Joseph Denola, Washington Township, NJ;  
 Eileen Eng, Weehawken, NJ;  
 Doug Jones, Franklin, TN;  
 Michael Kelly, Ramsey, NJ;  
 Sudhir Khanna, New York, NY;  
 John Rebimbas, East Meadow, NY;  
 Mark Trojan, Bayonne, NJ;  
 Alla Turetsky, Oradell, NJ;  
 Heather Vanderhoof, Belleville, NJ;

## \*\* CONTINUING DATA \*\*\*\*\*

This application is a CIP of 09/779,114 02/08/2001 which claims benefit of 60/182,399 02/14/2000 *yes*

## \*\* FOREIGN APPLICATIONS \*\*\*\*\*

*None SC*

## IF REQUIRED, FOREIGN FILING LICENSE GRANTED

\*\* 01/03/2002

Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no	STATE OR COUNTRY NY	SHEETS DRAWING 31	TOTAL CLAIMS 61	INDEPENDENT CLAIMS 11
35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged Examiner's Signature <i>SC</i> Initials				

## ADDRESS

21890

## TITLE

System and method for implementing employee stock plans

**FILING FEE RECEIVED**  
2808

FEES: Authority has been given in Paper  
 No. \_\_\_\_\_ to charge/credit DEPOSIT ACCOUNT  
 No. \_\_\_\_\_ for following:

<input type="checkbox"/> All Fees
<input type="checkbox"/> 1.16 Fees ( Filing )
<input type="checkbox"/> 1.17 Fees ( Processing Ext. of time )
<input type="checkbox"/> 1.18 Fees ( Issue )

	<input type="checkbox"/> Other _____
	<input type="checkbox"/> Credit